



**Shobhit
University**

EDUCATION EMPOWERS



Top 101-125 Band
in Pharmacy

CRITERION 6 - GOVERNANCE, LEADERSHIP AND MANAGEMENT

6.5.2 QUALITY ASSURANCE INITIATIVES OF THE INSTITUTION INCLUDE: 1. ACADEMIC AND ADMINISTRATIVE AUDIT (AAA) AND INITIATION OF FOLLOW-UP ACTION 2. CONFERENCES, SEMINARS, WORKSHOPS ON QUALITY 3. COLLABORATIVE QUALITY INITIATIVES WITH OTHER INSTITUTION(S) 4. ORIENTATION PROGRAMMES ON QUALITY ISSUES FOR TEACHERS AND STUDENTS 5. PARTICIPATION IN NIRF PROCESS 6. ANY OTHER QUALITY AUDIT BY RECOGNIZED STATE, NATIONAL OR INTERNATIONAL AGENCIES (ISO, NABH, NABL CERTIFICATION, NBA, ANY OTHER)

To reduce enormous use of paper and printing the ensure data, sign and a seal by the Competent Authority for all the papers, we have used the Class-3 Digital Signatures where a Registration Authority i.e. Dr. Mahipal Singh, Registrar of our University authenticate the documents and responses claimed in this pdf file.



SHOBHIT UNIVERSITY, Gangoh

[Notified by Government of U.P. Act No.3 of 2012, Established u/s 2(f) of UGC Act 1956]

Adarsh Institutional Area, Babu Vijendra Marg,
Gangoh, Distt. Saharanpur - 247341, UP

35
YEARS
OF ACADEMIC
EXCELLENCE





Babu Vijendra Marg, Adarsh Institutional
Area Gangoh, Distt. Saharanpur (U.P.)
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Academic and Administrative Audit (AAA) Report

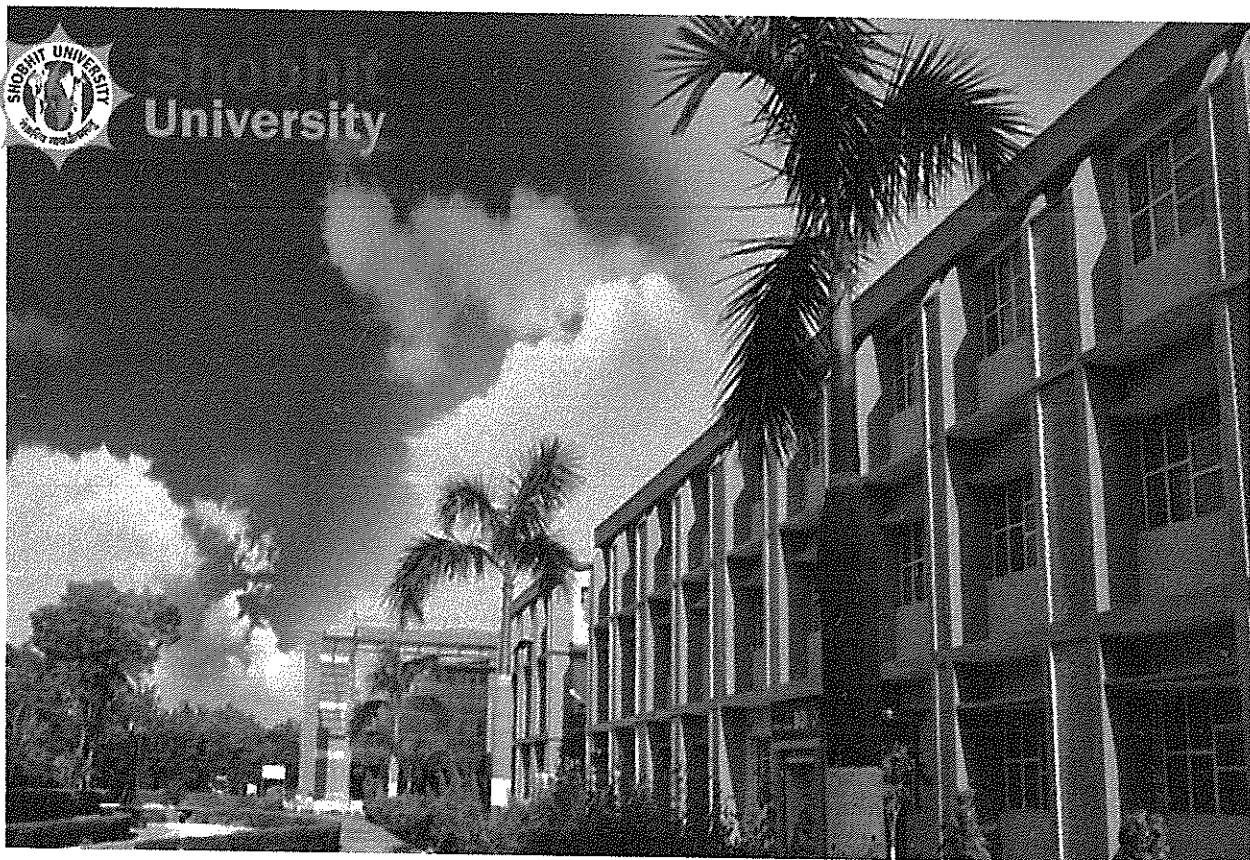


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ACADEMIC & ADMINISTRATIVE AUDIT REPORT 2023 - 2024



Prepared by:

**Internal Quality Assurance Cell
Shobhit University Gangoh**



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About Shobhit University, Gangoh

Shobhit University, Gangoh, Saharanpur has been notified by the Government of Uttar Pradesh vide Shobhit Vishwavidhayala, Uttar Pradesh Adhiniyam, 2011 (U.P. Act No.3 of 2012) passed by Uttar Pradesh Legislature and assented by the H.E. Governor of Uttar Pradesh. The University is established under section 2(f) of the University Grant Commission (UGC) Act, 1956. (Notification)

Shobhit University, Gangoh was established by the legislative bill of Uttar Pradesh Government vide Shobhit University Uttar Pradesh Act, 3 in 2012. It has since emerged as one of the very few universities that are rural-based in the sense they are deeply entrenched in the rural ambience of culture, environment, market and aspirations while technologically resting on the great shift on the continuum of Tradition and Modernity.

Vision, Mission, Core Values and Strategic Initiative

Vision

The Shobhit University will be internationally recognized as a premier Indian University with a global perspective that educates leaders who will fashion a more humane and just world.

Mission

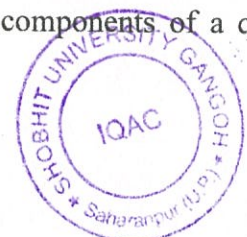
The core mission of the University is to promote learning in Indian tradition with international outlook. The University offers undergraduate, graduate, research scholars and professional students, the knowledge and skills needed to succeed as persons and professional in niche technical areas, and the values and sensitivity necessary to be men and women for others.

The University will distinguish itself as a diverse, socially responsible learning community of high quality scholarship and academic rigor, sustained by Indian ethics & values. The University will draw from the cultural, intellectual and economic resources of the nation to enrich and strengthen its educational programmes.

Core Values

The University's core values include a belief in and a commitment to advancing

- The Indian tradition that views faith and reason as complementary resources in the search for truth and authentic human development, and that welcomes persons of all faiths as fully contributing partners to the University.
- The freedom and the responsibility to pursue truth and follow evidence to its conclusion.
- Learning as a humanizing, social activity rather than a competitive exercise.
- A common good that transcends the interests of particular individuals or groups; and reasoned discourse rather than coercion as the norm for decision making.
- Diversity of perspectives, experiences and traditions as essential components of a quality education in our global context.





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- Excellence as the standard for teaching, scholarship, creative expression and service to the University community.
- Social responsibility in fulfilling the University's mission to create, communicate and apply knowledge to a world shared by all people and held in trust for future generations.
- The moral dimension of every significant human choice: taking seriously how and who we choose to be in the world.
- The full, integral development of each person and all persons, with the belief that no individual or group may rightfully prosper at the expense of others.
- A culture of service that respects and promotes the dignity of every person.

Strategic Initiative

The following initiatives are key to the University's achieving the recognition as a premier Indian University:

- Recruit and retain a diverse faculty of outstanding teacher scholars and a highly qualified, service-oriented staff, all committed to advancing the University's Vision, Mission and Values.
- Enroll, support and graduate a diverse student body, which demonstrates high academic achievement, strong leadership capability, concern for others and a sense of responsibility for the weak and the vulnerable.
- Provide an attractive campus environment and the resources to promote learning throughout the University:
 - Learning resources that enhance curriculum and support scholarship
 - Technology solutions to enhance learning and improve service
 - Facilities to support outstanding educational programmes.

Academic and Administrative Audit (AAA)

Shobhit University conducts annual internal and external audits encompassing both academic and administrative aspects. The *Internal* Quality Assurance Cell (IQAC) oversees these audits with its team internal auditors. External audits are conducted annually by external experts from academia and Industry. These audits are pivotal in fostering quality enhancement and continuous improvement, positioning Shobhit University among the leaders in best practices. The primary objective of these audits is to pinpoint any shortcomings or deficiencies within departments or sections as identified by the trained internal auditors. Regular review of these findings by senior management facilitates the implementation of necessary measures to enhance quality across the institution.

Composition of AAA

1. Vice Chancellor
2. Representative from Administration
3. IQAC Coordinator





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4. Criteria Coordinators
5. Internal auditors selected by IQAC

Scope of the Internal AAA

The audit process strictly adhered to a proforma structured by the IQAC for the evaluation based on NAAC criteria's as given:

1. Curricular Aspects: Curriculum design, development, BOS, framing of COs, POs, PEOs, PSOs for each course and programs, Curriculum enrichment, feedback
2. Teaching-Learning & Evaluation: Student mentoring, student assessment, student diversity, Teaching learning process, usage of ICT enabled tools, evaluation process and reforms, Faculty profiles, Student learning outcomes
3. Research, innovation & extension: Research facilities, papers published, patents, research projects
4. Feedback system
5. Research
6. Infrastructure
7. Department Administration
8. Other academic-oriented activities

Period of Assessment: 2023-24

Dates of Internal Audit: 15th Feb 2023 to 16th Feb 2023

The Internal Audit Committee and School/Departments to audit by the auditors.

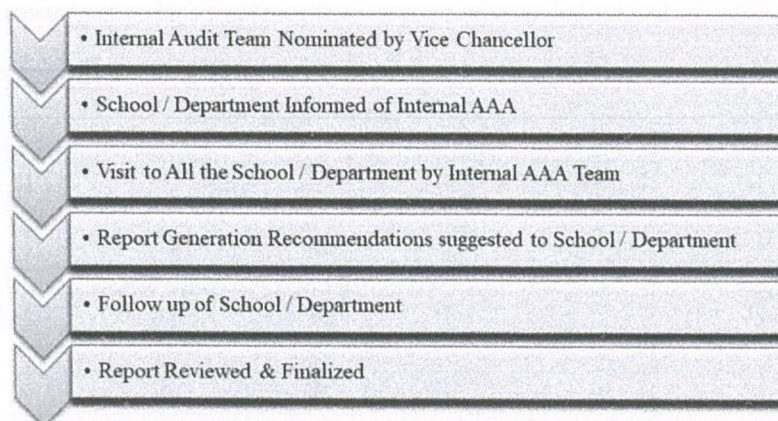


Fig. 1. Process flow of Internal Audit





Shobhit University

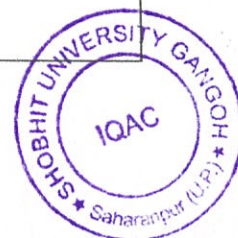
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Internal Audit Committee Members

1. Dr. Somprabh Dubey
2. Dr. Prashant Kumar

School	Courses	Name of the Internal Auditor
School of Engineering and Technology	B. Tech. (Computer Science and Engineering)	Ms. Sumika Jain Mr. Nitin Kumar
	Master of Technology (Computer Engineering)	
	Bachelor of Computer Applications	
	Master of Computer Applications	
School of Biological Engineering and Sciences	Bachelor of Science (Hons. Microbiology)	Mrs. Sarita Sharma
	Master of Science (Microbiology)	
School of Pharmacy Adarsh Vijendra Institute of Pharmaceutical Sciences	B. Pharm.	Mr. Jai Prakash Dr. Mayank Yadav
	M. Pharm. (Pharmaceutical Chemistry)	
	M. Pharm. (Pharmaceutics)	
	M. Pharm. (Pharmacology)	
School of Education	Bachelor of Education (BED)	Mr. Balram Tonk
School of Agriculture and Environmental Sciences	Bachelor of Science (Hons. Agriculture)	Dr. Shivani
School of Business Studies and Entrepreneurship	Bachelor of Business Administration	Mr. Adesh Kumar
	Master of Business Administration	
School of Law and Constitutional Studies	BA LLB	Mr. Shakti Singh
	LLB	
School of Ayurveda Kunwar Shekhar Vijendra Ayurved Medical College and Research Center	BAMS	Dr. Pretya Juyal Dr. Sugandha Verma Dr. Kultar Singh
	MS(Shalya Tantra)	
	MD(Kayachikitsa)	
	MD(Kriya Sharir)	
	MD(Ayurveda Samhita and Siddhant)	
	MD(Rachna Sharir)	
MS(Prasuti Tantra and Stree Rog)		
School of Naturopathy Kunwar Shekhar Vijendra Medical College of Naturopathy and Yogic Sciences	Bachelor of Naturopathy and Yogic Sciences (BNYS)	Ms. Shiba Jha





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Academic and Administrative Audit (AAA) Schedule

May 12 – 13, 2023

Day – 1

Time	Activity
10:00 – 10:15 AM	Welcome of the Guests
10:15 – 10:45 AM	Brief overview about the University and recent developments by Director – IQAC
10:45 – 01:00 PM	Visit to the School of Engineering and Technology, School of Biological Engineering & Sciences, School of Education
01:00 – 02:00 PM	Lunch
02:00 – 04:00 PM	Visit to School of Education, School of Agriculture and Environmental Sciences, School of Business Studies and Entrepreneurship, School of Law and Constitutional Studies
04:00 – 05:00 PM	Visit to the Central facilities – Examination Cell, Placement Cell, Auditorium, Sports Facilities etc.
Day - 2	
10:00 – 10:15 AM	Welcome by the IQAC Director
10:15 – 12:00 Noon	Visit to School of Ayurveda (KSVAMC&RC), School of Naturopathy (KSVMCN&YS) and School of Pharmacy (AVIPS)
12:00 – 01:00 PM	Visit to the facilities like Compost Plant, Animal House etc.
01:00 – 02:00 PM	Lunch
Post Lunch	Report Writing and Exit Meeting with the Deans/Directors/HoD's



External AAA

Based on the recommendations of the competent authority's distinguished academicians, industrialists are invited to serve as external experts for the academic and administrative audit. In consultation with these external auditors, a schedule is finalized, and the respective institutions are informed.

The Schools/Departments are evaluated on the following criteria:

1. Curricular Aspects
2. Teaching Learning and Evaluation
3. Research, Innovations and Extension activities
4. Infrastructure and Learning process
5. Student Support and Progression
6. Governance, Leadership and Management
7. Institutional Values and Best Practices

External Audit committee was constituted and comprise of the following members:

1. Sh. J. P. Singh – Associate Director – IRDE, DRDO and Head – AI
2. Dr. Vaibhav Gupta – Senior Scientist, IRDE, DRDO

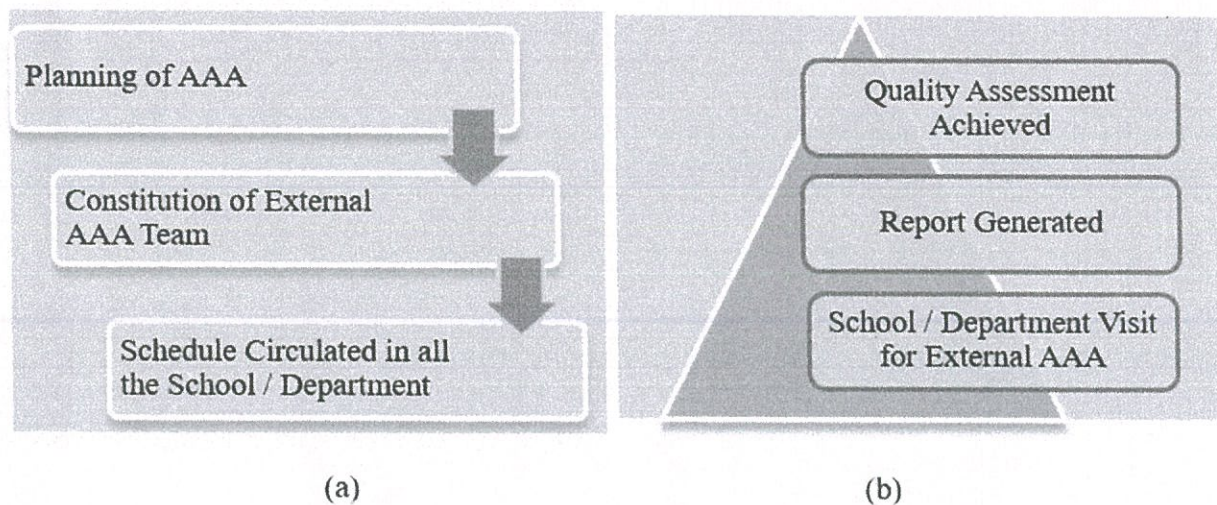
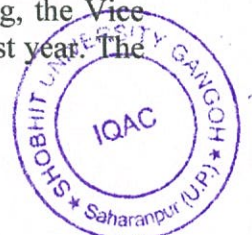


Fig. 2. Process flow of External Audit

The external academic and administrative audit was conducted on May 12-13, 2023. The external auditors and members were assisted by the IQAC members in carrying out the audit. On the first day, the external members held a formal meeting with the Vice Chancellor, Registrar, Deans, Directors, and Coordinators of various Schools and departments. During the meeting, the Vice Chancellor provided an overview of the developments and achievements from the past year. The external auditors were also briefed on the audit's objectives and procedures.





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The members visited the Schools and inspected infrastructural facilities such as smart classrooms and laboratories. They interacted with representatives, faculty members, and non-teaching staff of the respective Schools/Departments to understand the developments. The required documents were shown, and the auditors also visited central facilities like the Examination Cell, Placement Cell, Library, and Auditorium. Additionally, they inspected facilities such as the sewage treatment plant, rainwater harvesting system, biogas plant, and compost plant.

After interacting with all the Schools/Departments, a detailed report covering all the observations was prepared for each School/Department. The audit was successful in ensuring that the curriculum, teaching, learning, and evaluation methodologies practiced at the University meet the highest standards, thereby maintaining academic excellence in alignment with the University's vision and mission.

School	Courses	Name of the Internal Auditor
School of Engineering and Technology	B. Tech. (Computer Science and Engineering)	Ms. Sumika Jain Mr. Nitin Kumar
	Master of Technology (Computer Engineering)	
	Bachelor of Computer Applications	
	Master of Computer Applications	
School of Biological Engineering and Sciences	Bachelor of Science (Hons. Microbiology)	Mrs. Sarita Sharma
	Master of Science (Microbiology)	
School of Pharmacy Adarsh Vijendra Institute of Pharmaceutical Sciences	B. Pharm.	Mr. Jai Prakash Dr. Mayank Yadav
	M. Pharm. (Pharmaceutical Chemistry)	
	M. Pharm. (Pharmaceutics)	
	M. Pharm. (Pharmacology)	
School of Education	Bachelor of Education (BEd)	Mr. Balram Tonk
School of Agriculture and Environmental Sciences	Bachelor of Science (Hons. Agriculture)	Dr. Shivani
School of Business Studies and Entrepreneurship	Bachelor of Business Administration	Mr. Adesh Kumar
	Master of Business Administration	
School of Law and Constitutional Studies	BA LLB	Mr. Shakti Singh
	LLB	
School of Ayurveda Kunwar Shekhar Vijendra Ayurved Medical College and	BAMS	Dr. Pretya Juyal Dr. Sugandha Verma Dr. Kultar Singh
	MS(Shalya Tantra)	
	MD(Kayachikitsa)	
	MD(Kriya Sharir)	





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Research Center	MD(Ayurveda Samhita and Siddhant)	
	MD(Rachna Sharir)	
	MS(Prasuti Tantra and Stree Rog)	
School of Naturopathy Kunwar Shekhar Vijendra Medical College of Naturopathy and Yogic Sciences	Bachelor of Naturopathy and Yogic Sciences (BNYS)	Ms. Shiba Jha





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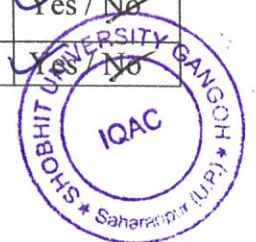
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Report of Academic and Administrative Audit Committee

Assessment based on Institutional Information

Category	Description	Available
Approval and Accreditation documents	UGC, BCI, NCISM, PCI	✓ Yes / No
Governance	Governing Body Notification	✓ Yes / No
	Academic Council Notification	✓ Yes / No
	Finance Committee Notification	✓ Yes / No
	BoS Notification	✓ Yes / No
	Proceedings of Governing Body Meetings	✓ Yes / No
	Proceedings of Academic Council Meetings	✓ Yes / No
	Proceedings of Finance Committee Meetings	✓ Yes / No
	Proceedings of BoS Meetings	✓ Yes / No
	Examination Cell	✓ Yes / No
	IQAC and its Proceedings	✓ Yes / No
	Anti-Ragging Committee	✓ Yes / No
	Grievance Redressal Committee	✓ Yes / No
	Student Council	✓ Yes / No
Alumni Council	✓ Yes / No	
	Office Automation	✓ Yes / No
	Personal Files	✓ Yes / No
	Finance Audit Reports	✓ Yes / No
	Student Scholarship Details	✓ Yes / No
	University Website	✓ Yes / No
Others	CCTV	✓ Yes / No
	ICT Enabled Class Rooms, Auditorium	✓ Yes / No
	Fire Extinguishers	✓ Yes / No
	Canteen, Mess	✓ Yes / No
	Sports Facility	✓ Yes / No
	Hostel (Boys & Girls)	✓ Yes / No
	Common Room	✓ Yes / No
	Bank	✓ Yes / No
	Rain Water Harvesting	✓ Yes / No
	Electricity Backup facility	✓ Yes / No
	Alternate source of Energy/Energy Conservation/LED/Solar Panels etc.	✓ Yes / No
	Staff Accommodation	✓ Yes / No





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	Liquid Waste Management	Yes / No
	Green Campus Initiative	Yes / No

General Observations:

1. The University is recognized by MHRD, Government of India
2. Qualified and committed faculty members with rich experience
3. School of Pharmacy (AVIPS) is ranked by NIRF in top 101 – 125 band.
4. The University is ISO certified
5. Departments function in alignment with the University Quality policies
6. Total number of programs offered during 2022-2023 are 26.
Among them UG Programmes offered are 11...; PG Programmes 13, Ph.D-01,
Faculty members - 194...
7. 92 faculty members are with PhD (including BAMS, MD/MS)
8. A total of 2678 Students are enrolled in the campus and nearly 579 outgoing students during 2023-24
613
9. Overall the pedagogic and examinations processes are progressing smoothly.
10. It is recommended to consult industry representatives and potential employers when designing the curriculum.
11. A mechanism for collecting student feedback on courses is in place and conducted by IQAC. Overall issues identified in the feedback should be analyzed and discussed in departmental meetings. The Head of the Department/Director/Dean will communicate individual feedback to the respective faculty members.
12. Faculty members should be encouraged to engage more extensively in mentoring.
13. Faculty members without a Ph.D. should be encouraged and supported to enroll in doctoral programs and pursue their research. Faculty members who already hold a Ph.D. should be motivated to undertake research projects and secure research grants from various government agencies and industries.
14. A structured mechanism for consultancy and collaboration needs to be developed across all departments.
15. Overall, the number of publications from the University across various departments has been commendable. Faculty members should be encouraged to publish in Scopus or UGC-CARE listed journals to enhance citations and visibility. Additionally, all departments should clearly highlight the Program Outcomes (PO), Program Specific Outcomes (PSO), and Learning Outcomes (LO) of their programs.
16. The Finance and Examination sections need to be digitized.
17. Feedback from other stakeholders should be collected and analyzed. Whenever possible, the actions taken based on this feedback should be communicated to the relevant stakeholders.





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Academic Review	
Teaching and Examination Processes	The teaching and examination processes are progressing smoothly. Mechanisms for collecting student feedback on courses, managed by the IQAC, are in place. Feedback issues are analyzed and discussed in departmental meetings, and individual teacher feedback is communicated by the Head of the Department or Dean.
Curriculum Development	It is recommended that industry representatives and potential employers be consulted during the curriculum design process to ensure relevance and alignment with industry needs.
Faculty Development	Faculty members should be encouraged to engage more extensively in mentoring. Non-Ph.D. teachers should be supported and encouraged to enroll in doctoral programs, while those with Ph.D.s should be motivated to undertake research projects and secure grants from government agencies and industries.
Research and Publications	The University has shown commendable publication output across various departments. Faculty members should be encouraged to publish in Scopus or UGC-CARE listed journals to enhance citations and visibility. Departments should clearly highlight the Program Outcomes (PO), Program Specific Outcomes (PSO), and Learning Outcomes (LO) of their programs.
Administrative Review	
Digitization	The Finance and Examination sections need to be digitized to improve efficiency and reduce manual errors.
Consultancy and Collaboration	A structured mechanism for consultancy and collaboration should be developed across all departments to foster partnerships and enhance practical learning opportunities.
Stakeholder(s) Feedback	Feedback from stakeholders should be collected and analyzed regularly. Actions taken based on this feedback should be communicated to the relevant stakeholders whenever possible.

The audit findings indicate that the institution is performing well in many areas but requires improvements in certain aspects to enhance overall efficiency and effectiveness. The recommendations provided aim to guide the institution towards achieving higher standards in academic and administrative domains.

Recommendations:

1. Consult industry representatives in curriculum design.
2. Encourage faculty involvement in mentoring and research.
3. Digitize Finance and Examination sections.





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4. Develop structured consultancy and collaboration mechanisms.
5. Regularly collect and act on stakeholder feedback.

Tushar
External AAA Member

Mr. Tushar Srivastava, Essar No'ide.

Sanjay Sharma
External AAA Member

Dr. Sanjay Sharma, UIT, HPU-Shimla.

Soufalya
IQAC – Director/Coordinator

Suk
Vice Chancellor



Vice - Chancellor
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