



**Shobhit
University**

EDUCATION EMPOWERS



Top 101-125 Band
in Pharmacy

CRITERION 3 - Research, Innovations and Extension

3.4.1 THE INSTITUTION HAS A STATED CODE OF ETHICS FOR RESEARCH, THE IMPLEMENTATION OF WHICH IS ENSURED BY THE FOLLOWING:

- 1. RESEARCH METHODOLOGY WITH COURSE ON RESEARCH ETHICS**
- 2. ETHICS COMMITTEE**
- 3. PLAGIARISM CHECK**
- 4. COMMITTEE ON PUBLICATION GUIDELINES**

To reduce enormous use of paper and printing the ensure data, sign and a seal by the Competent Authority for all the papers, we have used the Class-3 Digital Signatures where a Registration Authority i.e. Dr. Mahipal Singh, Registrar of our University authenticate the documents and responses claimed in this pdf file.



SHOBHIT UNIVERSITY, Gangoh

[Notified by Government of U.P. Act No.3 of 2012, Established u/s 2(f) of UGC Act 1956]

Adarsh Institutional Area, Babu Vijendra Marg,
Gangoh, Distt. Saharanpur - 247341, UP

35
YEARS
OF ACADEMIC
EXCELLENCE





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Research Ethics Committee

Preamble

At Shobhit University, Gangoh, maintaining high ethical standards of responsibility and accountability in research is paramount. Recognizing that conflicts of interest and competing commitments can pose ethical and legal challenges, the university has established a comprehensive framework of ethical guidelines to direct research practices. These guidelines are designed to uphold the integrity of research activities while fostering a culture of transparency and responsibility.

The ethical guidelines serve as a cornerstone for addressing potential dilemmas, ensuring that researchers adhere to principles of honesty, fairness, and respect for all stakeholders. By providing a structured approach, these standards aim to guide researchers in navigating complex situations that may arise during the course of their work. The framework emphasizes the importance of avoiding conflicts of interest, ensuring accurate reporting of findings, and safeguarding the rights and welfare of research participants.

Developing and adopting appropriate research guidelines and ethical standards is a critical step in promoting responsible research practices. These principles are intended not only to enhance the credibility of research outcomes but also to reinforce the university's commitment to fostering an environment of ethical inquiry and innovation.

This document outlines the ethical rules and practices Shobhit University, Gangoh, has implemented to ensure all research activities align with the highest standards of integrity. By adhering to these guidelines, the university strives to uphold its mission of advancing knowledge responsibly while contributing to the broader academic and scientific community.

Objectives: The following objectives guided the development of the ethical principles and standards for research outlined in this document:



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1. To raise awareness and provide support for researchers facing external pressures during their work.
2. To protect and promote the independence of research by upholding moral values, professional ethics, and principles of ethical self-regulation.
3. To uphold and promote participants' human rights while inspiring and guiding organizations and researchers to adopt these ethical practices.
4. To enhance the quality, reliability, and credibility of research in the domain of internal security.
5. To embed ethics into research design and methodology while equipping individuals and organizations with tools to establish effective ethical self-regulation systems.
6. To oversee studies involving human clinical samples and related data.
7. To monitor research activities involving human participants, animals, hazardous substances, radiation, and bio-contaminants.

ETHICS COMMITTEE

1. Purpose and Scope

The Ethics Committee at Shobhit University, Gangoh is dedicated to upholding the highest standards of ethical conduct in research, academic activities, and institutional operations. It serves as a guardian of integrity, ensuring that all practices align with ethical principles and regulatory requirements.

The committee is tasked with reviewing ethical concerns, addressing potential issues, and fostering a culture of accountability and responsibility within the university. By promoting adherence to established ethical guidelines, it seeks to create an environment that values transparency, fairness, and respect in all academic and research endeavors.

Through its efforts, the Ethics Committee reinforces the university's commitment to integrity and ethical excellence, ensuring compliance with relevant laws and regulations while



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encouraging a shared sense of responsibility among faculty, students, and staff. This dedication strengthens the university's reputation as a center of ethical inquiry and academic rigor.

2. Composition

- Chairperson : Prof. (Dr.) Rajiv Dutta
- Vice Chairperson : Prof. (Dr.) Bhupendra Chauhan
- Secretary : Dr. Naveen Kumar
- Two Faculty Members : Prof. (Dr.) Prashant Kumar, Dr. Somprabh Dubey
- One Student Representative : Mohd. Akhlad
- One External Member : Prof. (Dr.) Shailendra K. Saraf
- Legal Advisor (optional)

3. Responsibilities

The Ethics Committee is responsible for:

- **Evaluating Research Proposals:** Reviewing research projects to address ethical considerations, including those involving human and animal subjects, data integrity, and potential conflicts of interest.
- **Handling Complaints:** Investigating and resolving issues related to unethical conduct or practices within the university.
- **Raising Awareness:** Conducting workshops, seminars, and training programs to educate on ethical standards and best practices.
- **Formulating Policies:** Developing and updating university policies to uphold ethics and integrity.
- **Ensuring Compliance:** Overseeing adherence to ethical guidelines and regulatory requirements in research and academic activities.

4. Meetings

- **Frequency:** The Ethics Committee shall meet at least once per semester or as needed to address



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- urgent matters
- **Quorum:** A majority of the committee members must be present for decisions to be valid.
- **Minutes:** Detailed minutes of each meeting shall be recorded and made available to the university community.

5. Procedures

- **Proposal Review:** Any research proposals that involve human or animal subjects must undergo a thorough review by the Ethics Committee before the research begins. This process ensures that the proposed work adheres to ethical standards and safeguards the welfare and rights of all participants involved. Researchers are required to submit detailed information about their study design, methodology, and ethical considerations to facilitate the committee's assessment.
- **Complaint Process:** Concerns or complaints regarding unethical behavior or practices within the university must be submitted to the Ethics Committee in written form. Upon receiving a complaint, the committee follows a structured procedure to review, investigate, and address the issue in a fair and impartial manner. This process is designed to maintain the integrity of the university community while ensuring accountability and transparency in handling ethical violations.
- **Policy Development:** The Ethics Committee is responsible for drafting and proposing policies related to ethics and integrity. These policies are carefully developed to address emerging ethical challenges and align with best practices in academia and research. Once drafted, the policies are submitted for approval by the University's administration or the appropriate governing body, ensuring their formal adoption and implementation across the institution.

6. Confidentiality

All discussions and decisions of the Ethics Committee are treated with strict confidentiality. Members are required to handle sensitive information with the utmost discretion, ensuring privacy and integrity in every aspect of their work. The



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RESEARCH ETHICAL COMMITTEE

NOTICE

The meeting of the Research Ethical Committee will be held on 21st August (Monday) at 10:30 a.m. in the University Board Room.

Agenda No.	Agenda(s)
1	To discuss the cases received for discussion and approval.
2	To discuss the topic submitted by the various schools for the clearance of dissertation.
3	To review of compliance with ethical guidelines and approved protocols.
4	To discuss the planning for workshops, seminars, or training sessions on research ethics.
5	Discussion on aligning university policies with regulatory changes.

Chairperson
247341
SHOBHIT UNIVERSITY GANGOH
Saharanpur (U.P.) HOE

Copy to;

1. PS to Hon'ble Vice Chancellor for kind information.
2. PS to Registrar for kind information.
3. All Deans/Director/Principal/ HoDs
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RESEARCH ETHICAL COMMITTEE

Minutes of Meeting

The meeting of the Research Ethical Committee was held on 21st August (Monday) at 10:30 a.m. in the University Board Room. The following members were present in the meeting:

1. Prof. (Dr.) Rajiv Dutta
2. Prof. (Dr.) Bhupendra Chauhan
3. Dr. Naveen Kumar
4. Prof. (Dr.) Prashant Kumar
5. Dr. Somprabh Dubey
6. Mohd. Akhild

Rajiv Dutta
Bhupendra Chauhan
Naveen Kumar
Prashant Kumar
Somprabh Dubey
Mohd. Akhild

The chairperson extended a warm welcome to all members of the Research Ethics Committee, expressing gratitude for their participation and commitment.

Agenda 1: To discuss the cases received for discussion and approval.

Discussion: All URDC cases from various schools were presented and discussed in detail, as outlined in the attached annexure.

Resolution: All URDC cases from various departments were presented, thoroughly discussed, and subsequently approved.

Agenda 2: To discuss the topic submitted by the various schools for the clearance of dissertation.

Discussion: All the topics submitted by various schools were placed before the members and discussed thoroughly.

Resolution: All the topics were presented before the members of the committee for the discussion. Members discussed the topics and approved the topics with some modifications and recommendations.

Agenda 3: To review of compliance with ethical guidelines and approved protocols.



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Discussion: The committee conducted a thorough review of ongoing research projects to ensure compliance with ethical guidelines and approved protocols. Each project was assessed for adherence to established ethical standards, focusing on participant consent, data integrity, and the welfare of human or animal subjects.

Resolution: A summary of ongoing research projects was presented, detailing their compliance status with ethical protocols. Any deviations or concerns regarding protocol adherence were discussed. The committee identified areas for improvement and recommended necessary corrective actions. Recommendations for continuous monitoring and reporting were emphasized. The committee reaffirmed its commitment to ensuring ethical research practices and will continue to monitor all projects for compliance.

Action Taken:

- Researchers will be notified of any necessary adjustments to ensure full compliance.
- Follow-up on compliance will occur in the next scheduled meeting.

Agenda 4: To discuss the planning for workshops, seminars, or training sessions on research ethics.

Discussion: The committee discussed the planning and organization of upcoming workshops, seminars, and training sessions aimed at enhancing awareness of research ethics across the university. The primary goal is to ensure that all researchers and faculty are well-informed about ethical guidelines, best practices, and institutional policies.

Resolution: The committee affirmed the importance of providing regular training to foster a strong culture of ethical research.

- **Target Audience:** The workshops and seminars will be open to faculty, researchers, and students involved in research activities.
- **Topics:** Key topics identified include ethical considerations in research design, human and animal subject research, data integrity, conflicts of interest, and ethical self-regulation.
- **Frequency and Format:** It was agreed that the sessions would be conducted quarterly. The format will include both in-person and online options to ensure accessibility.



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- **Speakers/Trainers:** Potential speakers from within and outside the university, including experts in research ethics, will be invited to lead the sessions.
- **Resources:** The committee discussed preparing handouts, presentations, and other materials to support the learning experience.

Action Taken

- A subcommittee will be formed to finalize the topics, speakers, and schedule for the first session.
- Outreach to potential speakers and experts will begin immediately.
- An online registration platform will be created for participants.

Agenda 5: Discussion on aligning university policies with regulatory changes.

Discussion: The committee discussed the need to align university policies with recent changes in national and international research regulations. The objective is to ensure that the university's research practices remain compliant with evolving legal and ethical standards.

Resolution: The committee emphasized the importance of staying current with regulatory changes and ensuring that university policies reflect the highest standards of research ethics and compliance.

- **Regulatory Updates:** The committee reviewed recent changes in research ethics regulations at both the national and international levels, including new guidelines related to data protection, ethical review processes, and research involving human and animal subjects.
- **Policy Gaps:** The committee identified areas where current university policies may require updates to stay in line with the new regulations.
- **Proposed Revisions:** Specific revisions were proposed for existing university policies to ensure full compliance with updated laws, including modifications in informed consent procedures, data management, and handling of clinical research.
- **Implementation Plan:** A timeline for policy revisions was discussed, and the committee agreed to prioritize the most urgent changes first, followed by a broader review of all policies.



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Actions Taken

- A working group will be formed to draft the necessary policy revisions.
- The working group will consult legal and regulatory experts to ensure all revisions are comprehensive and accurate.
- A draft of the revised policies will be presented at the next meeting for further discussion and approval.
- **Meeting adjourned at 21st August, 2023.**